

DETAILED ACTION

Allowable Subject Matter

1. Claims 1, 4-11, 14-20, 23-27, and 30-37 are allowed.

EXAMINER'S AMENDMENT

2. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Joe Ragusa on April 5th, 2011.

THE APPLICATION HAS BEEN AMENDED AS DETAILED IN THE FOLLOWING PAGES:

Claim 1. (Currently Amended) A trading system comprising:

a plurality of trading floors each having a plurality of trader workstations, and a distributor for distributing to the trader workstations data related to one or more instruments being traded on the system, at least a portion of the data being audibly announced at the trader workstations, wherein a trading floor identifier unique to each trading floor or unique to the institution or party to which the trading floor belongs is also audibly announced to each trading floor, wherein the trading floor identifier is announced at random intervals with a predetermined number of announcements being made over a given time period.

Claim 2. (Cancelled).

Claim 3. (Cancelled).

Claim 4. (Currently Amended) A trading system according to claim [[3]] 1, wherein two announcements of the trading floor identifier are made each hour.

Claim 11. (Currently Amended) A computerized method of distributing trading data from a computerized trading system to trading floors comprising a plurality of trader workstations, the method comprising:

the computerized trading system distributing data related to instruments being traded on the system, at least a portion of the data being structured to facilitate audible announcement of the data, and at trader workstations of each trading floor, audible announcement of an identifier unique to the trading floor, wherein the identifier is announced at random intervals with a predetermined number of announcements being made over a given time period.

Claim 12. (Cancelled).

Claim 13. (Cancelled).

Claim 14. (Currently Amended) A method according to claim [[13]] 11, wherein the data is structured to facilitate two announcements at random twice every hour.

Claim 20. (Currently Amended) A computerized trading system comprising a distributor for distributing to trader workstations data related to one or more instruments being traded on the system, at least a portion of the data being structured to facilitate audible announcement at the trader workstations of the trading floors, and for distributing an identifier unique to the institution or party to which the trader workstation belongs or unique to each trading floor to which the trader workstation belongs, the

identifier facilitating audible announcement of the identifier to each trading floor, wherein the identifier is announced at random intervals with a predetermined number of announcements being made over a given time period.

Claim 21. (Cancelled).

Claim 22. (Cancelled).

Claim 27. (Currently Amended) A computerized method of distributing trading data from a computerized trading system to trading floors comprising a plurality of trader workstations, the computerized method comprising:

distributing data, from the computerized trading system, structured to facilitate audible announcement of data relating to instruments being traded on the computerized trading system and audible announcement of an identifier unique to a trading floor, or the institution to which it belongs, at each trading floor, wherein the identifier is announced at random intervals with a predetermined number of announcements being made over a given time period.

Claim 28. (Cancelled).

Claim 29. (Cancelled).

Claim 30. (Currently Amended) A method according to claim [[28]] 27, wherein the data is structured to facilitate two announcements being made at random times every hour.

Claim 37. (Currently Amended) A trader terminal for a trading system for trading fungibles between traders, the terminal comprising a vocalisation unit for converting selected trading data received from the trading system into audible announcements, the

vocalisation unit further audibly announcing an identifier unique to the trading floor, institution or party of which the trader terminal is a part, wherein the identifier is announced at random intervals with a predetermined number of announcements being made over a given time period.

Reasons for Allowance

3. The following is a formal statement of reasons for allowance:

Claim 1 is allowed because the best prior art of record alone or in combination, neither discloses nor fairly suggests the limitations: A trading system comprising: a plurality of trading floors each having a plurality of trader workstations, and a distributor for distributing to the trader workstations data related to one or more instruments being traded on the system, at least a portion of the data being audibly announced at the trader workstations, wherein a trading floor identifier unique to each trading floor or unique to the institution or party to which the trading floor belongs is also audibly announced to each trading floor, wherein the trading floor identifier is announced at random intervals with a predetermined number of announcements being made over a given time period.

Claims 4-10 are also allowable for the same reasons discussed above by virtue of their dependence on allowed claim 1.

Claim 11 is allowed because the best prior art of record alone or in combination, neither discloses nor fairly suggests the limitations: A computerized method of

distributing trading data from a computerized trading system to trading floors comprising a plurality of trader workstations, the method comprising: the computerized trading system distributing data related to instruments being traded on the system, at least a portion of the data being structured to facilitate audible announcement of the data, and at trader workstations of each trading floor, audible announcement of an identifier unique to the trading floor, wherein the identifier is announced at random intervals with a predetermined number of announcements being made over a given time period.

Claims 14-19 are also allowable for the same reasons discussed above by virtue of their dependence on allowed claim 11.

Claim 20 is allowed because the best prior art of record alone or in combination, neither discloses nor fairly suggests the limitations: A computerized trading system comprising a distributor for distributing to trader workstations data related to one or more instruments being traded on the system, at least a portion of the data being structured to facilitate audible announcement at the trader workstations of the trading floors, and for distributing an identifier unique to the institution or party to which the trader workstation belongs or unique to each trading floor to which the trader workstation belongs, the identifier facilitating audible announcement of the identifier to each trading floor, wherein the identifier is announced at random intervals with a predetermined number of announcements being made over a given time period.

Claims 23-26 are also allowable for the same reasons discussed above by virtue of their dependence on allowed claim 20.

Claim 27 is allowed because the best prior art of record alone or in combination, neither discloses nor fairly suggests the limitations: A computerized method of distributing trading data from a computerized trading system to trading floors comprising a plurality of trader workstations, the computerized method comprising: distributing data, from the computerized trading system, structured to facilitate audible announcement of data relating to instruments being traded on the computerized trading system and audible announcement of an identifier unique to a trading floor, or the institution to which it belongs, at each trading floor, wherein the identifier is announced at random intervals with a predetermined number of announcements being made over a given time period.

Claims 30-36 are also allowable for the same reasons discussed above by virtue of their dependence on allowed claim 27.

Claim 37 is allowed because the best prior art of record alone or in combination, neither discloses nor fairly suggests the limitations: A trader terminal for a trading system for trading fungibles between traders, the terminal comprising a vocalisation unit for converting selected trading data received from the trading system into audible announcements, the vocalisation unit further audibly announcing an identifier unique to

the trading floor, institution or party of which the trader terminal is a part, wherein the identifier is announced at random intervals with a predetermined number of announcements being made over a given time period.

4. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to JESSICA L. LEMIEUX whose telephone number is (571)270-3445. The examiner can normally be reached on Monday-Thursday 9AM-4PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Kramer can be reached on 571-272-6783. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JAMES A. KRAMER/
Supervisory Patent Examiner, Art Unit 3693

Jessica L Lemieux
Examiner
Art Unit 3693

/J. L. L./
Examiner, Art Unit 3693
April 2011